



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

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PATENT APPLICATION

Inventor(s): Leon Bottou et al.

Docket No.: 2001-0061

Application No.: 10/057687

Filing Date: 01/24/2002

Examiner Name: Not Yet Assigned

Group Art Unit: 2621

Title: Method And System For Classifying Image Elements

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Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

10/14/2003 ANAB11 00000094 012745 10057687
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- ☐ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)).
- ☐ 2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

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- ☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).
- ☒ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information.
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- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: October 7, 2003

AT&T CORP.
P.O. Box 4110
Middletown, New Jersey 07748-4110

By: 

Benjamin S. Lee, Attorney
Reg. No.: 42787
T: 908-532-1904
F: 732-368-6932

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2**Complete if Known**

Application Number	10/057687
Filing Date	01/24/2002
First Named Inventor	Leon Bottou et al.
Group Art Unit	2621
Examiner Name	Not Yet Assigned
Attorney Docket Number	2001-0061

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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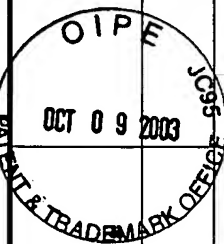
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		Filing Date	01/24/2002
		First Named Inventor	Leon Bottou et al.
		Group Art Unit	2621
		Examiner Name	Not Yet Assigned
Sheet	2	of	2
		Attorney Docket Number	2001-0061

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Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Haffner et al., "Browsing through High Quality Document Images with DjVU," Proc. IEEE International Forum on Research and Technology Advances in Digital Libraries, April 22, 1998, pp. 309-318.	<input type="checkbox"/>
		Ozer et al., "A Graph Based Object Description for Information Retrieval in Digital Image and Video Libraries," Proc. IEEE Workshop on Content-Based Access of Image and Video Libraries, 1999, pp. 79-83.	<input type="checkbox"/>
		Bottou et al., "High quality document image compression with 'DjVU'", Journal of Electronic Imaging, Vol. 7, No. 3, July 1, 1998, pp. 410-425.	<input type="checkbox"/>
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